

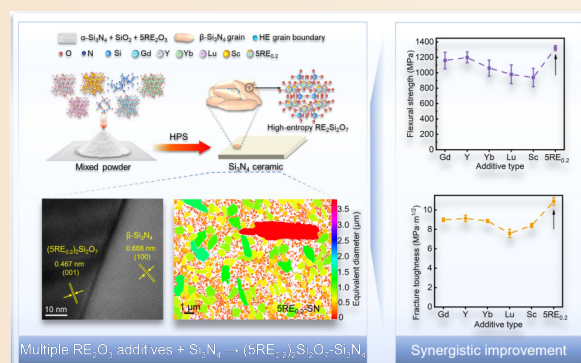
Synergistic improvement of flexural strength and fracture toughness in Si_3N_4 ceramics featuring high-entropy grain boundary phase

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ABSTRACT: Developing silicon nitride (Si_3N_4) ceramics with concurrently enhanced flexural strength and fracture toughness presents significant challenges due to the critical dependence of these macroscopic properties on microstructure. This study produced high-performance Si_3N_4 ceramics by employing multiple rare earth (RE) oxides (RE_2O_3) as sintering additives to construct a multicomponent rare earth ion liquid phase via hot pressing sintering (HPS). The designed multicomponent RE-ion liquid phase reduces the shrinkage onset temperature while facilitating densification and $\alpha \rightarrow \beta$ phase transformation. Synergistic effects among the multicomponent RE-ion liquid phase foster a distinct bimodal microstructure characterized by an optimized spatial distribution of refined matrix grains interlocked with elongated rod-like $\beta\text{-Si}_3\text{N}_4$ grains exhibiting elevated aspect ratios. Concurrently, the multicomponent RE-ion liquid phase crystallizes into a high-entropy ($\text{Gd}_{0.2}\text{Y}_{0.2}\text{Yb}_{0.2}\text{Lu}_{0.2}\text{Sc}_{0.2}$) Si_2O_7 , enabling high crystallinity of the grain boundary phase in Si_3N_4 ceramics. Through concurrent optimization of microstructure and grain boundary phase properties in Si_3N_4 ceramics, synergistic enhancement in flexural strength (1322 MPa) and fracture toughness ($10.88 \text{ MPa}\cdot\text{m}^{1/2}$) is achieved. Collectively, this high-entropy approach establishes a highly promising transformative strategy for developing high-performance structural ceramics.

KEYWORDS: silicon nitride (Si_3N_4) ceramics; liquid phase sintering; high-entropy rare earth (RE) disilicate; grain boundary phase; mechanical properties



1 Introduction

Silicon nitride (Si_3N_4) ceramics have garnered significant attention as structural materials due to their exceptional combination of properties, including high strength, excellent toughness, remarkable hardness, and superior wear resistance. These characteristics make it an ideal candidate for demanding applications across aerospace, automotive, electronics, and medical industries [1–4]. However, achieving the coordinated development of diverse mechanical properties in Si_3N_4 ceramics is challenging due to the pronounced correlation of macroscopic mechanical performance to microstructure. Flexural strength is enhanced by a fine-grained microstructure through grain refinement strengthening [5,6], while fracture toughness relies on the presence of large, elongated $\beta\text{-Si}_3\text{N}_4$ grains that enable crack deflection, crack bridging, and grain pull-out [7].

A proven strategy to resolve this conflict is to design a bimodal microstructure, where sparse, large elongated grains are uniformly dispersed within a fine-grained matrix [8]. This microstructure

design strategy is implemented primarily through two approaches. First, the introduction of $\beta\text{-Si}_3\text{N}_4$ seeds as heterogeneous nucleation sites promotes the development of large elongated grains. By optimizing the size and amount of these seeds, researchers have successfully obtained well-dispersed high-aspect-ratio $\beta\text{-Si}_3\text{N}_4$ grains, leading to improved fracture toughness without a significant loss of strength [9–11]. Second, as densification, phase transformation, and grain growth are mediated by the liquid phase during sintering, careful selection of sintering additives enables the decoupling of $\beta\text{-Si}_3\text{N}_4$ nucleation from densification [12]. For example, controlling the nitrogen-to-oxygen ratio via oxygen-free additives can suppress densification at lower temperatures while permitting phase transformation, thereby facilitating bimodal microstructure development upon subsequent heating [13–15]. Additionally, the ratio of rare earth oxides (RE_2O_3) to alkaline earth elements in additives influences the process; higher Al_2O_3 content promotes densification, whereas increased Y_2O_3 favors phase transformation [16]. RE elements significantly affect phase evolution, densification, and

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microstructure development. The RE-ion radius directly influences the liquid phase viscosity and interfacial bonding. A smaller RE-ion radius increases viscosity, hindering the dissolution-precipitation process necessary for β - Si_3N_4 growth, while a larger radius enhances adsorption between RE atoms and nitrogen on the (0001) plane [17–19]. This dual dependence on ionic radius creates competing effects in microstructural evolution [20–24]. Consequently, larger RE ions lower the $\alpha \rightarrow \beta$ transformation temperature and encourage high-aspect-ratio grain morphology, improving fracture toughness [25,26]. However, excessively large RE ions may lead to overgrown grains and critical flaws, reducing the flexural strength [27–29]. Recent studies show that binary RE_2O_3 additives produce synergistic effects, offering over 10% improvement in self-reinforcement and toughening compared to single-component systems [30]. These multicomponent RE-ion liquid phases, with tailored ionic radius differences and moderate average radii, effectively promote optimized bimodal microstructures.

Furthermore, the grain boundary phase significantly influences both the flexural strength and fracture toughness of Si_3N_4 ceramics. After liquid phase cooling, sintering additives partially crystallize within the grain boundary phase. Their higher thermal expansion coefficient induces residual tensile stress in Si_3N_4 grains, promoting a transition from transgranular to intergranular fracture, which enhances fracture toughness [31,32]. Regarding flexural strength, the low strength of the grain boundary glassy phase reduces the overall strength. Promoting its crystallization strengthens the grain boundary phase, thereby improving flexural strength at both room and elevated temperatures [33,34]. Notably, we introduced a novel component combination designed to form a high-entropy grain boundary phase. High-entropy systems, known for their high configurational entropy and compositional complexity, have shown enhanced performance in various applications, such as wave-absorbing materials, energy storage systems, composites, and thermal management materials [35–39]. Extending this entropy engineering strategy to ceramic systems offers great potential for enhancing the mechanical properties of Si_3N_4 ceramics. Building on this approach, the use of multiple RE_2O_3 additives not only regulates grain growth in the liquid phase during sintering but also promotes the formation of a high-entropy grain boundary phase during cooling. This dual strategy enables synergistic optimization of both flexural strength and fracture toughness through tailored microstructure and grain boundary engineering.

This study pioneers the application of high-entropy engineering to Si_3N_4 ceramics. We incorporate five RE_2O_3 with SiO_2 as sintering additives to fabricate Si_3N_4 ceramics via hot pressing sintering (HPS). We systematically investigate how the multicomponent RE-ion liquid phase governs phase transformation, densification, and microstructural evolution. Furthermore, we explore the crystallization of the liquid phase into high-entropy $\text{RE}_2\text{Si}_2\text{O}_7$ and its impact on the mechanical properties. A comparative analysis with single RE_2O_3 additives confirms the superiority of this high entropy approach, establishing a new paradigm for optimizing liquid phase sintered ceramics through entropy-driven design.

2 Experimental

2.1 Raw materials and formulation

The starting materials consisted of high-purity α - Si_3N_4 powder (SN-E10, α -phase content > 95%) with five RE_2O_3 (Gd_2O_3 , Y_2O_3 , Yb_2O_3 , Lu_2O_3 , and Sc_2O_3 ; purity > 99.99% each) and SiO_2 (purity >

99.99%). The sintering additives RE_2O_3 and SiO_2 were formulated with a stoichiometric ratio according to $\text{RE}_2\text{Si}_2\text{O}_7$, where the five RE elements maintained equimolar proportions ($\text{Gd} : \text{Y} : \text{Yb} : \text{Lu} : \text{Sc} = 1 : 1 : 1 : 1 : 1$). This formulation enabled the *in situ* formation of high-entropy $\text{RE}_2\text{Si}_2\text{O}_7$ at the grain boundary phase of Si_3N_4 ceramics. The molar ratio of α - Si_3N_4 to multiple additives was varied as 94 : 6, which was labeled 5 $\text{RE}_{0.2}$ -SN. Additional compositions with 20 and 50 mol% additives were prepared to enhance the high-entropy grain boundary phase content. Control groups were established using unitary $\text{RE}_2\text{O}_3 + \text{SiO}_2$ additives ($\text{RE} = \text{Gd}, \text{Y}, \text{Yb}, \text{Lu}, \text{and Sc}$), each containing 6 mol% additives, which were labeled Gd-SN, Y-SN, Yb-SN, Lu-SN, and Sc-SN, respectively.

2.2 Preparation of Si_3N_4 ceramics

The fabrication process combined wet milling and HPS. The additive mixtures and α - Si_3N_4 powder were added in two stages to anhydrous ethanol for homogenization via planetary ball milling (350 r/min, 10 h) within a nylon container. The milling was conducted with a ball-to-powder ratio of 2 : 1, employing 5 and 10 mm Si_3N_4 grinding media at a 1 : 1 mass ratio. The resultant slurry was dried, sieved, and uniaxially pressed at 10 MPa to form green bodies. Subsequent sintering was conducted in a graphite die under biaxial mechanical pressure (30 MPa) with a nitrogen atmosphere (0.1 MPa). A sintering temperature of 1750 °C was implemented, with a holding time of 2 h. The main synthesis route for Si_3N_4 ceramics with a high-entropy grain boundary phase is illustrated in Fig. 1.

2.3 Characterizations

The shrinkage behavior was measured using *in situ* shrinkage curves in an HPS furnace (ZT-100-18Y, Shanghai Chenhua Science Technology Co., Ltd., China) with minimal mechanical pressure (3 MPa) applied. Bulk densities were determined by Archimedes' method with theoretical densities calculated through the rule of mixtures. Flexural strength was evaluated via three-point bending tests (specimen dimensions: 3 mm $\times$ 4 mm $\times$ 36 mm, span: 30 mm) on a universal testing machine (LD23.104, LSI, China) with a loading rate of 0.5 mm/s, reporting an average of ≥ 5 valid measurements. Fracture toughness was assessed using the single-edge notched beam method (specimen dimensions: 3 mm $\times$ 4 mm $\times$ 20 mm with 2 mm notch depth and 0.2 mm notch width, span: 16 mm) under a loading rate of 0.05 mm/s, averaging ≥ 5 successful tests. Phase composition analysis was performed using a Cu K α X-ray diffractometer (XRD; Shimadzu 6100, Shimadzu, Japan) with Rietveld refinement implemented in GSAS software. The polished surface of Si_3N_4 ceramics was etched using CF_4 at 50 W for 600 s. Microstructural characterization employed a field-emission scanning electron microscope (FE-SEM; Regulus 8100, Hitachi, Japan), a field-emission transmission electron microscopy (FE-TEM; Talos F200X, FEI, USA), and TEM-coupled energy-dispersive X-ray spectroscopy (EDS). All characterizations were conducted at ambient temperature. The scanning electron microscopy (SEM) images were identified by commercial software (MIPAR) and analyzed for microstructural parameters.

3 Results and discussion

3.1 Composition and structure analysis of the grain boundary phase in Si_3N_4 ceramics

Based on the effects of different RE^{3+} on the grain growth of Si_3N_4



ceramics and the design guidelines of $\text{RE}_2\text{Si}_2\text{O}_7$, we selected five RE elements (Gd, Y, Yb, Lu, and Sc) that are often used as sintering additives for Si_3N_4 ceramics with different ionic radii and moderate average ionic radius differences. Si_3N_4 ceramics with a high-entropy grain boundary phase were designed and prepared by utilizing the synergistic effect of multiple RE_2O_3 as sintering additives on Si_3N_4 grain growth. To systematically investigate the entropy engineering effects on microstructural evolution, particularly grain boundary phase formation, we fabricated Si_3N_4 ceramics with multiple RE_2O_3 as sintering additives at varying content levels (6, 20, and 50 mol%). Figure 2(a) presents the XRD patterns elucidating phase evolution with increasing additive content. All specimens exhibited a dual-phase constitution consisting of a $\beta\text{-Si}_3\text{N}_4$ phase (PDF#09-0259) and a $\beta\text{-(Gd}_{0.2}\text{Y}_{0.2}\text{Yb}_{0.2}\text{Lu}_{0.2}\text{Sc}_{0.2})_2\text{Si}_2\text{O}_7$ grain boundary phase (PDF#22-1103). The characteristic peaks of $\beta\text{-(Gd}_{0.2}\text{Y}_{0.2}\text{Yb}_{0.2}\text{Lu}_{0.2}\text{Sc}_{0.2})_2\text{Si}_2\text{O}_7$ differ from the diffraction patterns of $\text{Sc}_2\text{Si}_2\text{O}_7$, $\text{Y}_2\text{Si}_2\text{O}_7$, and $\text{Gd}_2\text{Si}_2\text{O}_7$ [40], indicating the formation of a solid solution comprising all five RE elements. Notably, the characteristic diffraction peaks corresponding to $\beta\text{-(Gd}_{0.2}\text{Y}_{0.2}\text{Yb}_{0.2}\text{Lu}_{0.2}\text{Sc}_{0.2})_2\text{Si}_2\text{O}_7$ displayed intensity dependence on additive concentration. At low additive loading (6 mol%), the $\text{RE}_2\text{Si}_2\text{O}_7$ phase signatures remained relatively weak due to the limited phase fraction.

Progressive peak intensification observed at 20 and 50 mol% additives confirmed enhanced grain boundary phase formation in Si_3N_4 ceramics. The Rietveld refinement results in Fig. 2(b) demonstrate excellent agreement between the experimental and simulated patterns. The refinement quality parameters (Chi-square $X^2 = 1.822$; weighted profile factor, $R_{\text{wp}} < 10\%$) validate the accuracy of phase quantification, with determined phase ratios matching the designed compositional specifications.

To elucidate the grain boundary phase structure in Si_3N_4 ceramics, high-resolution transmission electron microscopy (HRTEM) analysis was performed, as depicted in Fig. 3(b). The HRTEM image distinctly reveals two sets of lattice fringes with measured spacings of 0.668 and 0.467 nm, corresponding to the (100) plane of $\beta\text{-Si}_3\text{N}_4$ and (001) plane of high-entropy $\beta\text{-(Gd}_{0.2}\text{Y}_{0.2}\text{Yb}_{0.2}\text{Lu}_{0.2}\text{Sc}_{0.2})_2\text{Si}_2\text{O}_7$, respectively. The complementary TEM-EDS elemental mappings in Figs. 3(c) and 3(d) demonstrate homogeneous elemental dispersion of Si, N, Gd, Y, Yb, Lu, and Sc across the nanoscale domains, confirming the successful formation of well-crystallized and chemically uniform high-entropy $(\text{Gd}_{0.2}\text{Y}_{0.2}\text{Yb}_{0.2}\text{Lu}_{0.2}\text{Sc}_{0.2})_2\text{Si}_2\text{O}_7$ phases at the grain boundary phase in Si_3N_4 ceramics. In contrast, the Y-SN control sample exhibits a poorly crystalline grain boundary phase with substantial amorphous content, showing significantly lower

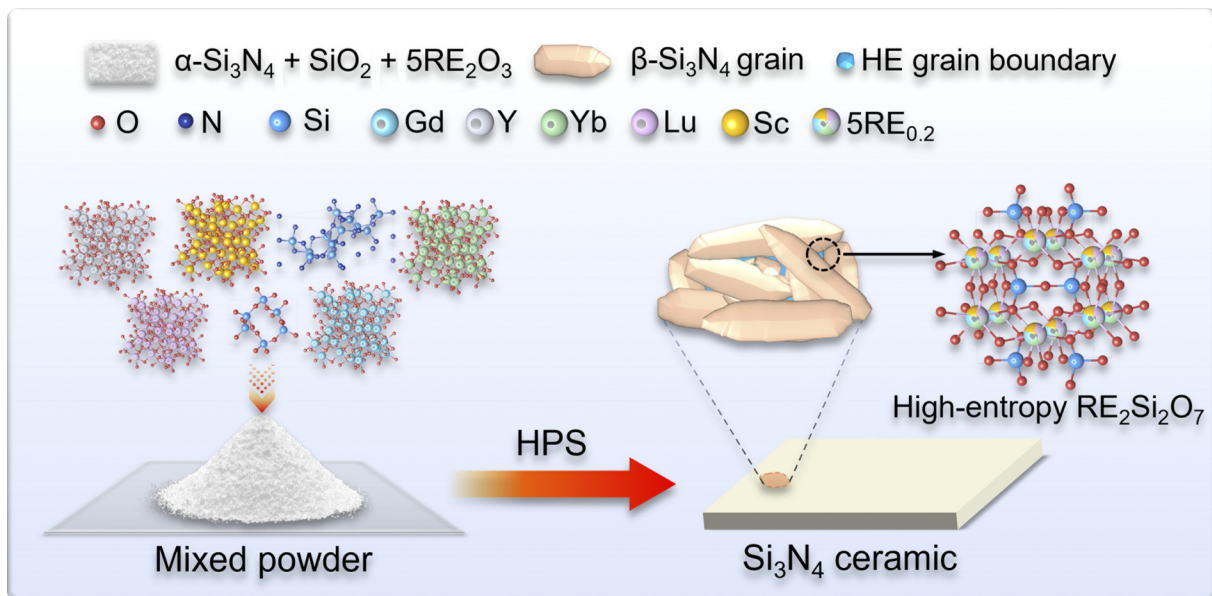


Fig. 1 Schematic illustration of synthesis process of Si_3N_4 ceramics with multiple RE_2O_3 as sintering additives.

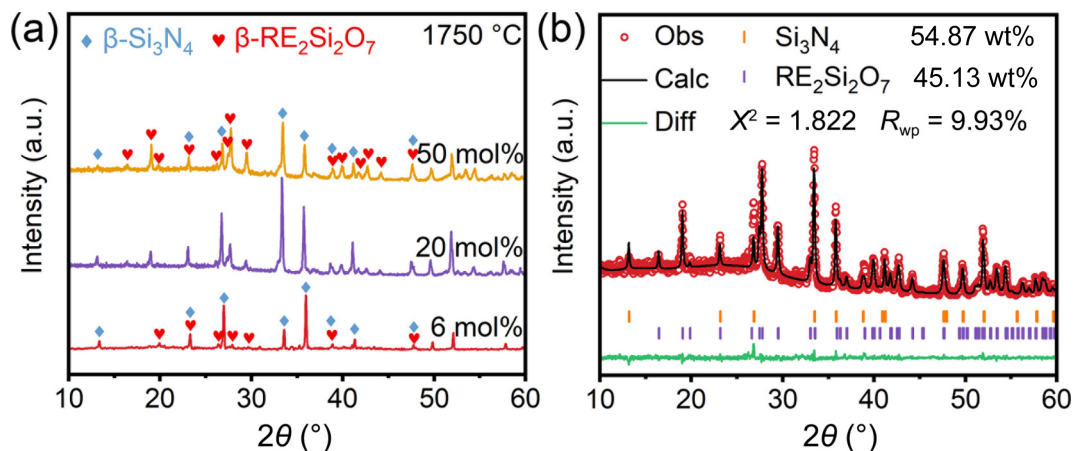


Fig. 2 (a) XRD patterns of Si_3N_4 ceramics sintered with 6, 20, and 50 mol% additive contents. (b) Rietveld refinement of XRD pattern for 50 mol% additive sample.

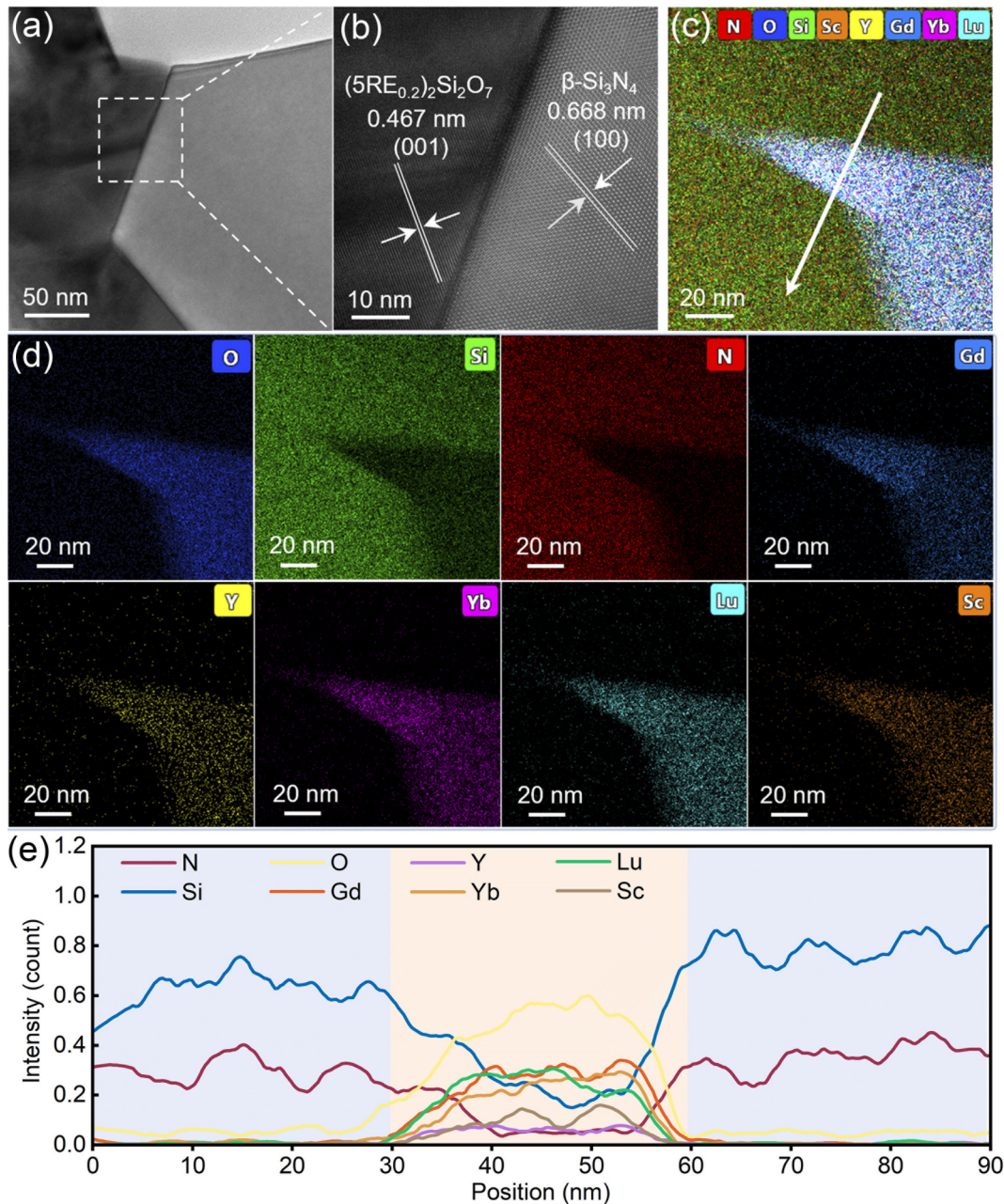


Fig. 3 (a, b) TEM images, (c) TEM image, and (d) corresponding elemental mappings of 6 mol% additive sample, revealing a high-entropy ($\text{Gd}_{0.2}\text{Y}_{0.2}\text{Yb}_{0.2}\text{Lu}_{0.2}\text{Sc}_{0.2}$) Si_2O_7 grain boundary phase. (e) EDS line scan element distribution profile along white arrow in (c).

crystallinity than the $5\text{RE}_{0.2}\text{-SN}$ sample, as evidenced by the TEM data in Fig. S1 in the Electronic Supplementary Material (ESM). In Fig. 3(e), the EDS spectral lines presented along the white arrows show the elemental content in each region, further confirming the homogeneity within each region. In addition, EDS analysis also verified that the proportions of these elements conformed to the design ratios (Table 1). The above experiments demonstrate the controlled *in situ* precipitation of highly crystalline high-entropy ($\text{Gd}_{0.2}\text{Y}_{0.2}\text{Yb}_{0.2}\text{Lu}_{0.2}\text{Sc}_{0.2}$) Si_2O_7 at the grain boundary phase in Si_3N_4 ceramics using multiple RE_2O_3 as sintering additives.

3.2 Liquid phase regulation of multiple RE_2O_3 as sintering additives on the microstructure evolution in Si_3N_4 ceramics

To assess the significant effects of multiple RE_2O_3 as sintering additives on liquid phase regulation, five control Si_3N_4 ceramics were prepared, each containing a single RE^{3+} additive (6 mol%,

Table 1 TEM-EDS analysis results of 6 mol% additive sample in Fig. 3(c)

Element	Family	Atomic fraction (%)	Atomic error (%)
N	K	46.03	6.48
O	K	16.08	3.91
Si	K	33.98	8.05
Sc	K	0.70	0.13
Y	K	0.97	0.18
Gd	L	0.71	0.12
Yb	L	0.74	0.13
Lu	L	0.79	0.14

$\text{RE} = \text{Gd}, \text{Y}, \text{Yb}, \text{Lu}, \text{and Sc}$). As shown in Fig. 4(a), the *in situ* displacement speed curves at a constant pressure of 3 MPa during sintering show the shrinkage behavior of the six Si_3N_4 ceramics.

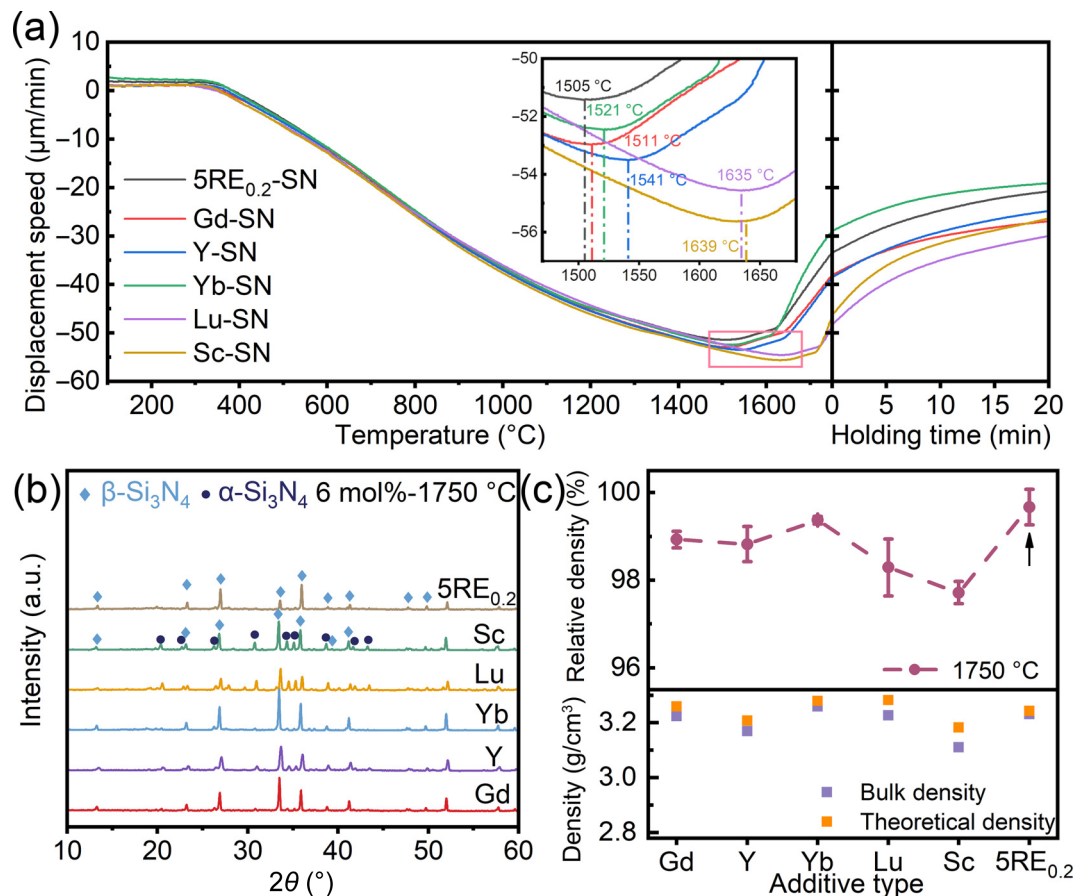


Fig. 4 Comparison of Si₃N₄ ceramics with five RE₂O₃ as sintering additives and multiple RE₂O₃ as sintering additives: (a) simulation of shrinkage behaviors (constant pressure = 3 MPa), (b) XRD patterns, and (c) relative density, bulk density, and theoretical density.

Due to the relatively high eutectic points of the Lu₂O₃-SiO₂ and Sc₂O₃-SiO₂ systems, the onset temperature of shrinkage for these two additive systems in Si₃N₄ ceramics is also relatively high (exceeding 1600 °C) [41–45]. Interestingly, based on the eutectic effect of multiple RE₂O₃ as sintering additives, its onset temperature of shrinkage (approximately 1503 °C) is lower than that of the other five unitary RE₂O₃ as sintering additives, allowing for an earlier onset temperature of shrinkage. The configurational entropy increase resulting from the multicomponent RE-ion liquid phase design generated a high-entropy effect that enhanced the solid solution process of the multiple RE₂O₃ [46]. Additionally, we individually prepared high-entropy RE₂Si₂O₇ and five unitary RE₂Si₂O₇ phases in a muffle furnace. As shown in Fig. S2 in the ESM, the XRD results indicate that when the sintering temperature exceeds 1600 °C, the five unitary RE₂Si₂O₇ compounds form different crystalline phases due to differences in RE³⁺ radii, including α-Gd₂Si₂O₇, γ-Y₂Si₂O₇, β-Yb₂Si₂O₇, β-Lu₂Si₂O₇, and β-Sc₂Si₂O₇. In this study, by controlling the average ionic radius of the selected rare earth elements below 0.885 Å, a quinary high-entropy phase consisting of β-(Gd_{0.2}Y_{0.2}Yb_{0.2}Lu_{0.2}Sc_{0.2})₂Si₂O₇ was successfully synthesized. This demonstrates that the resulting grain boundary phase is a single-phase solid solution rather than a mixture of multiple RE₂Si₂O₇ phases. From the perspective of phase purity, even at 1650 °C, Sc₂Si₂O₇ struggles to form a pure single-phase solid solution, whereas the high-entropy system successfully achieves a homogeneous single-phase solid solution. These results fully demonstrate that the high-entropy effect in this system effectively promotes the crystallization of the grain boundary phase into single-phase (Gd_{0.2}Y_{0.2}Yb_{0.2}Lu_{0.2}Sc_{0.2})₂Si₂O₇. Therefore, we infer

that the initial liquid phase formation temperature for multiple RE₂O₃ as sintering additives should be lower than that for five unitary RE₂O₃ as sintering additives.

Simultaneously, variations in the RE-ion radius (*r*³⁺) alter the ionic field strength, which in turn affects the liquid phase properties. Specifically, RE ions with smaller radii possess a stronger RE ion field strength, which results in higher viscosity. At lower sintering temperatures, the less viscous liquid phase facilitates the dissolution and precipitation of Si₃N₄ grains, leading to greater shrinkage. Achieving significant shrinkage with a more viscous liquid phase requires a relatively high sintering temperature. This higher viscosity hinders grain growth and densification in Si₃N₄ ceramics. In this work, *r*(Gd³⁺) > *r*(Y³⁺) > *r*(Yb³⁺) > *r*(5RE_{0.2}³⁺) > *r*(Lu³⁺) > *r*(Sc³⁺). Lu-SN and Sc-SN specimens exhibit higher liquid viscosity than 5RE_{0.2}-SN, Gd-SN, Y-SN, and Yb-SN. This elevated viscosity restricts α→β phase transformation and limits grain growth in Lu-doped or Sc-doped ceramics, as shown in Figs. 4(b) and 4(c) and Table S1 and S2 in the ESM, resulting in insufficient grain growth and incomplete densification with residual hole defects. In contrast, 5RE_{0.2}-SN has a relatively complete phase transition and densification despite a moderate average 5RE_{0.2}³⁺ radius combined with a low onset temperature of shrinkage.

Larger-radius RE³⁺ ions (such as Gd³⁺, Y³⁺, and Yb³⁺) preferentially adsorb on prismatic Si₃N₄ surfaces, suppressing SiN adsorption and promoting axial grain growth (high aspect ratios). Conversely, smaller RE³⁺ ions (such as Lu³⁺ and Sc³⁺) enhance radial growth, producing larger diameter grains with lower aspect ratios [17]. From the fracture surfaces SEM of Si₃N₄ ceramics in Fig. 5, it is observed that the grain growth of 5RE_{0.2}-SN, Gd-SN,

Y-SN, and Yb-SN is more significant than that of Lu-SN and Sc-SN. This further validates that the differences in RE-ion adsorption on the β - Si_3N_4 prismatic planes within the liquid phase affect the microstructure of Si_3N_4 ceramics. The significant grain development observed in $5\text{RE}_{0.2}$ -SN is inferred to result from the synergistic effect of the five RE ions, which is similar to the binary system reported by Lu *et al.* [47]. All of the above results verified that multiple RE_2O_3 as sintering additives significantly optimized the phase transition, grain growth, and densification of Si_3N_4 grains by modulating the liquid phase properties.

3.3 Synergistic optimization and mechanism analysis of mechanical properties in Si_3N_4 ceramics

Based on the differences in the nature of the liquid phase produced by different RE elements and the different modulation effects of the RE element species on the Si_3N_4 grains, we further evaluated the mechanical properties of Si_3N_4 ceramics prepared by different RE_2O_3 as sintering additives. Among the five unitary additive systems, the Lu-SN and Sc-SN samples showed poor mechanical strength less than 1000 MPa and toughness less than $8.4 \text{ MPa}\cdot\text{m}^{1/2}$, as shown in Fig. 6. The good mechanical properties

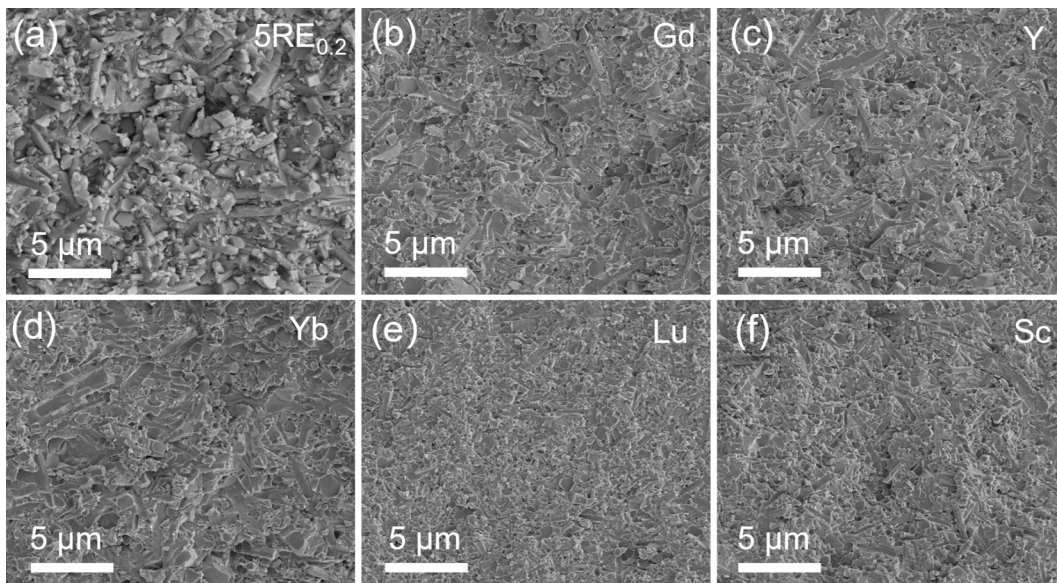


Fig. 5 Fracture surface SEM images of Si_3N_4 ceramics with different RE_2O_3 as sintering additives: (a) $5\text{RE}_{0.2}$ -SN, (b) Gd-SN, (c) Y-SN, (d) Yb-SN, (e) Lu-SN, and (f) Sc-SN.

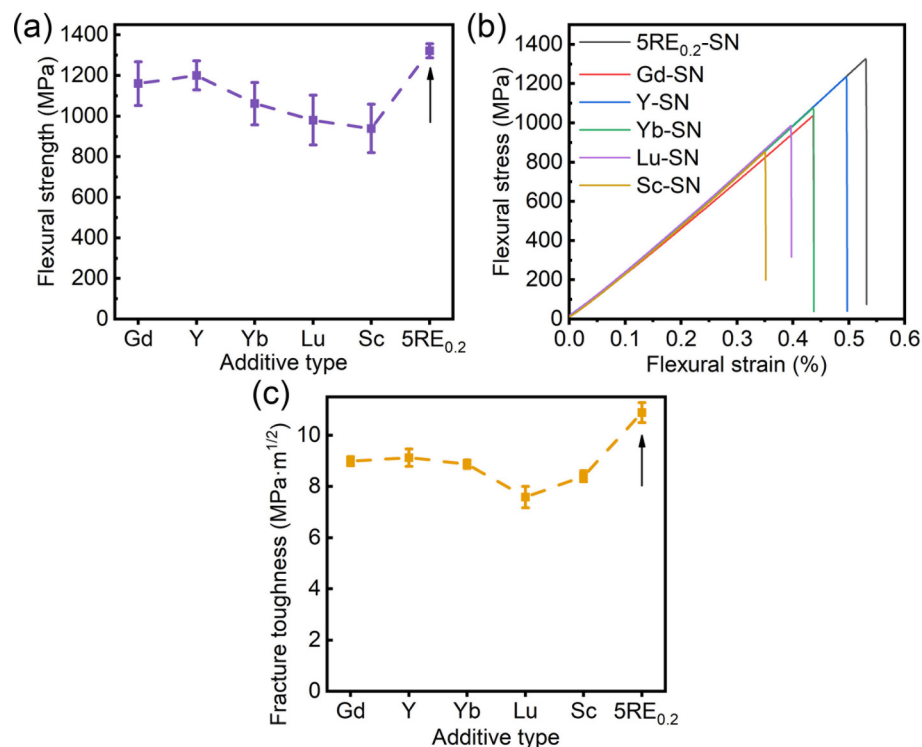


Fig. 6 Comparison of Si_3N_4 ceramics with five unitary RE_2O_3 as sintering additives and multiple RE_2O_3 as sintering additives: (a) flexural strength, (b) stress-strain curve, and (c) fracture toughness.

of the Gd-SN, Y-SN, and Yb-SN samples stem from their well-developed grain morphology (balanced aspect ratios) and complete densification, which contrasts with the defective microstructures of the Lu-SN and Sc-SN samples.

Notably, 5RE_{0.2}-SN exhibited excellent flexural strength and fracture toughness, of 1322±34 MPa and 10.88±0.39 MPa·m^{1/2}, respectively, which showed mechanical properties superior to those of the five unitary RE additive systems. The flexural strength and fracture toughness of 5RE_{0.2}-SN were significantly higher than those of the unitary additive system. Relative to the average flexural strength (1068 MPa) and fracture toughness (8.59 MPa·m^{1/2}) of the five unitary RE additive systems, 5RE_{0.2}-SN exhibited improvements of 23.78% and 26.65%, respectively. Meanwhile, the fracture strain of 5RE_{0.2}-SN was at a higher level (0.53%) than that of the five unitary additive systems. It is obvious that the flexural strength and fracture toughness can be synergistically improved by this synergistic action of the multicomponent RE-ion liquid phase.

The mechanical properties of Si₃N₄ ceramics are closely related to their microscopic morphology. Smaller grains are favorable for the strengthening of Si₃N₄ ceramics, while rod-like β-Si₃N₄ grains with high aspect ratios can toughen Si₃N₄ ceramics by grain pull-out and crack deflection. To further analyze the mechanism of this size effect on the mechanical properties of Si₃N₄ ceramics, we performed image analysis on the surface SEM of 5RE_{0.2}-SN after etching, together with a comparison analysis of Y-SN, which has better mechanical properties among the five unitary RE₂O₃ as sintering additives. As shown in Fig. 7, 5RE_{0.2}-SN exhibits a greater number and larger size of rod-like β-Si₃N₄ grains than Y-SN at the same magnification. Based on the corresponding grain size distribution diagram (Fig. 8(c)), it can be observed that in the Y-SN sample, grains with diameters exceeding 0.750 μm accounted for merely 0.53%, while those with diameters smaller than 0.120 μm constituted 35.86%. Additionally, 50% of the grains exhibited diameters greater than 0.153 μm. Under the effect of multiple RE₂O₃ as sintering additives, grain diameters larger than

0.750 μm in the 5RE_{0.2}-SN sample increased significantly to 3.24%, with smaller grains ($d < 0.120$ μm) accounting for 36.44% (Fig. 8(a)). Moreover, 50% of the grains exhibited diameters less than or equal to 0.145 μm. Building upon the aforementioned grain size statistics, we calculated their aspect ratios and found that in 5RE_{0.2}-SN, grains with aspect ratios (l/d) greater than 4 accounted for 3.42%, while over 50% of grains possessed aspect ratios greater than 1.78 (Fig. 8(b)). In contrast, the Y-SN sample contained only 0.77% grains with aspect ratios exceeding 4, and its median aspect ratio was more than 1.61 (Fig. 8(d)).

Integrating the grain size distribution and aspect ratio statistics, the 5RE_{0.2}-SN sample exhibits a higher proportion of fine grains and rod-like β-Si₃N₄ grains with elevated aspect ratios, demonstrating a characteristic bimodal microstructure. According to the Hall–Petch equation (Eq. (1)) [48]:

$$\sigma = \sigma_0 + kd^{-1/2} \quad (1)$$

where σ represents the actual strength of the material, σ_0 and k are material constants, and d is the average grain size. The high proportion of fine grains in the 5RE_{0.2}-SN sample contributed to its excellent flexural strength. Si₃N₄ ceramics are composed of Si₃N₄ grains and a grain boundary phase. In addition to the influence of grain size on flexural strength, the strength of the grain boundary phase also affects the overall strength of the ceramic, with a higher grain boundary phase strength leading to a higher ceramic strength. The grain boundary phases in the five unitary RE₂O₃ samples as sintering additives are predominantly amorphous. However, the design of novel multiple RE₂O₃ as sintering additives strategically harnesses synergistic interactions among multicomponent RE-ion liquid phases to regulate grain morphology and establish distinct bimodal microstructures while simultaneously enabling the designed liquid phase to react with silica during cooling, which precipitates a well-crystallized high-entropy grain boundary phase upon sintering completion benefiting from the high-entropy effect [33,34]. The strength of this crystalline high-entropy grain boundary phase is higher than

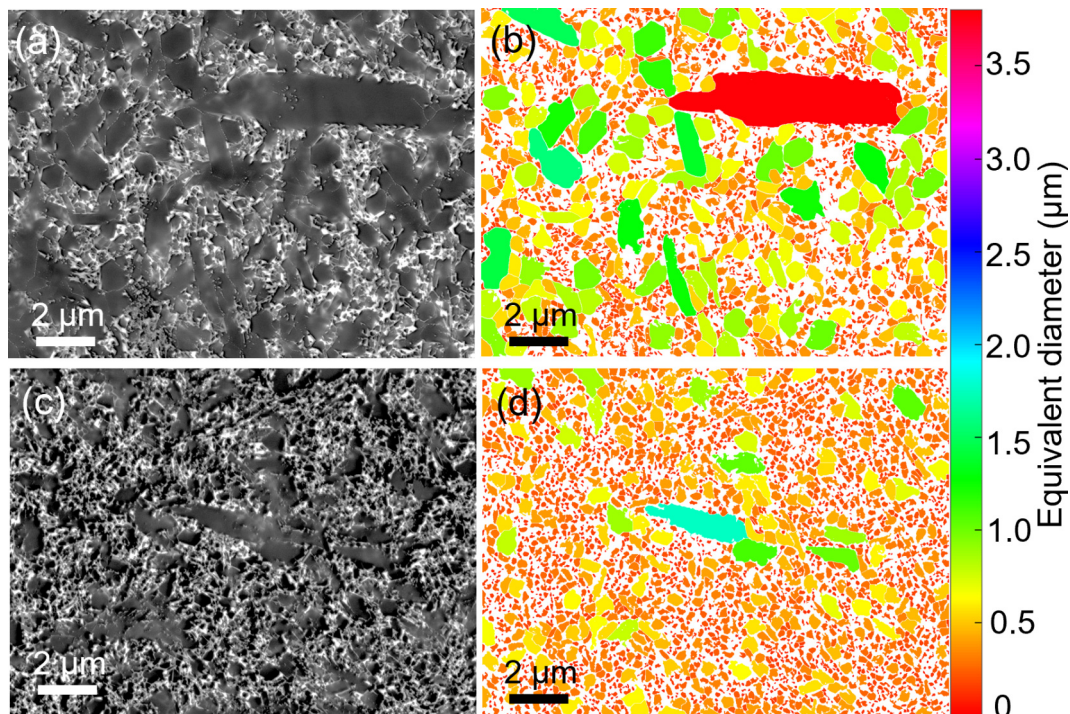


Fig. 7 Polished surface SEM and corresponding image analysis of Si₃N₄ ceramics: (a, b) 5RE_{0.2}-SN and (c, d) Y-SN.

that of the grain boundary glassy phase. Therefore, the high-entropy $(\text{Gd}_{0.2}\text{Y}_{0.2}\text{Yb}_{0.2}\text{Lu}_{0.2}\text{Sc}_{0.2})_2\text{Si}_2\text{O}_7$ grain boundary phase in 5RE_{0.2}-SN also plays a critical role in enhancing the overall strength of Si_3N_4 ceramics.

In addition, elongated rod-like $\beta\text{-Si}_3\text{N}_4$ grains contribute to toughening but cannot fully ensure that the Si_3N_4 ceramics achieve a toughening effect [49,50]. Toughening processes such as crack bridging or crack deflection only occur when a crack propagates along the prismatic planes of these elongated $\beta\text{-Si}_3\text{N}_4$ grains. Therefore, an appropriately weakened grain boundary structure is essential. When the thermal expansion coefficient of the grain boundary phase is greater than that of the Si_3N_4 matrix, it generates interfacial tensile stress at the Si_3N_4 grain/grain boundary phase interface [31,32]. This stress can appropriately enhance the fracture toughness of Si_3N_4 ceramics. Additionally, the sintering additives form a highly crystalline high-entropy grain boundary phase after liquid-phase cooling in Si_3N_4 ceramics. The thermal expansion coefficient of the grain boundary phase remains higher than that of the grain boundary glassy phase. This thermal expansion mismatch generates residual tensile stress at the Si_3N_4 grain/grain boundary phase interfaces. The introduction of high-entropy grain boundary phases promotes interface debonding, shifting the fracture mode in Si_3N_4 ceramics from transgranular to intergranular. This transition enhances the

fracture toughness of Si_3N_4 ceramics [51]. The synergistic interaction between a high proportion of elongated Si_3N_4 grains and a highly crystalline high-entropy grain boundary phase with optimized thermal expansion matching facilitates the transition from transgranular to intergranular fracture in Si_3N_4 ceramics. As seen in Fig. 9, this significantly achieves self-toughening of the Si_3N_4 ceramics through mechanisms such as crack deflection, crack bridging, and grain pull-out.

Subsequently, we compared the mechanical properties of Si_3N_4 ceramics prepared by different sintering methods in previous work (Fig. 10). The corresponding mechanical property testing methods are shown in Table 2. Under HPS technology, the advantages conferred by our design of the additive composition are highly significant. 5RE_{0.2}-SN exhibits superior mechanical properties relative to HPS Si_3N_4 ceramics with conventional additives such as $\text{RE}_2\text{O}_3+\text{MgO}$ [30], $\text{RE}_2\text{O}_3+\text{Al}_2\text{O}_3$ [51–53], and $\text{RE}_2\text{O}_3+\text{Si}_3\text{N}_4$ seeds [54,55]. This performance enhancement results from the control of grain growth by the multicomponent RE-ion liquid phase, which is synergistically integrated with the designed crystallization of the high-entropy grain boundary phase. This approach achieves the highest reported values of both flexural strength and fracture toughness by HPS lighting. These results indicate that the highly crystalline high-entropy $(\text{Gd}_{0.2}\text{Y}_{0.2}\text{Yb}_{0.2}\text{Lu}_{0.2}\text{Sc}_{0.2})_2\text{Si}_2\text{O}_7$ grain boundary phase, coupled with

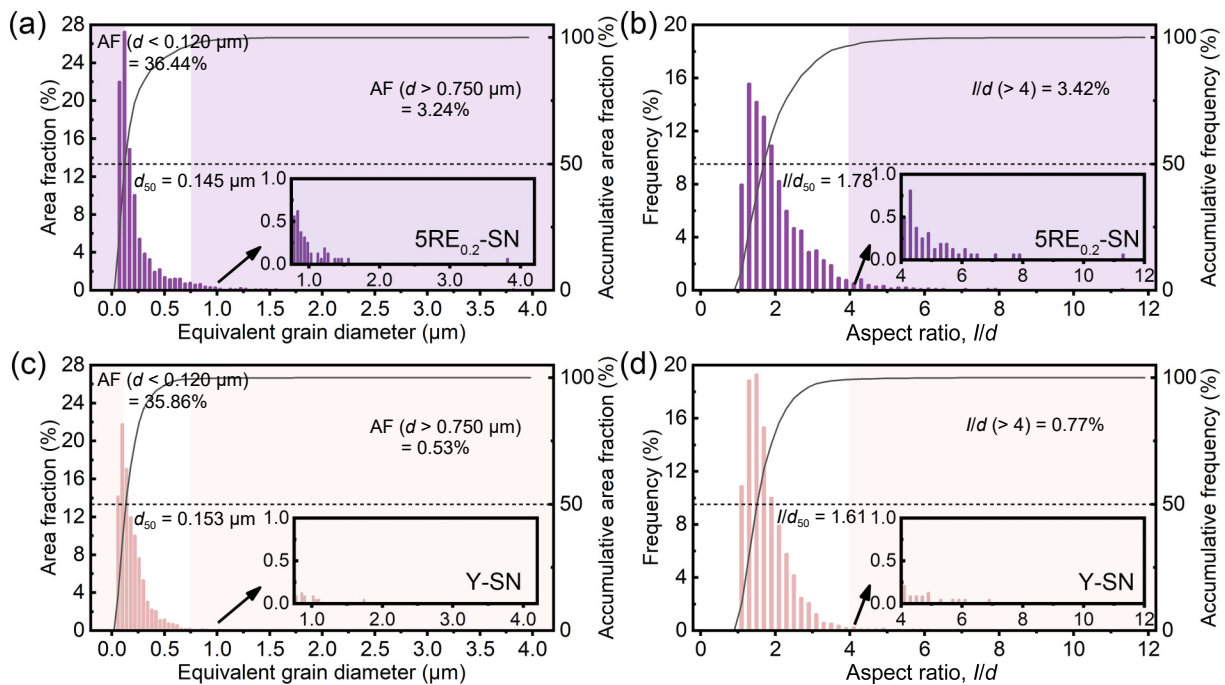


Fig. 8 Diameter distributions and aspect ratio of Si_3N_4 ceramics: (a, b) 5RE_{0.2}-SN and (c, d) Y-SN.

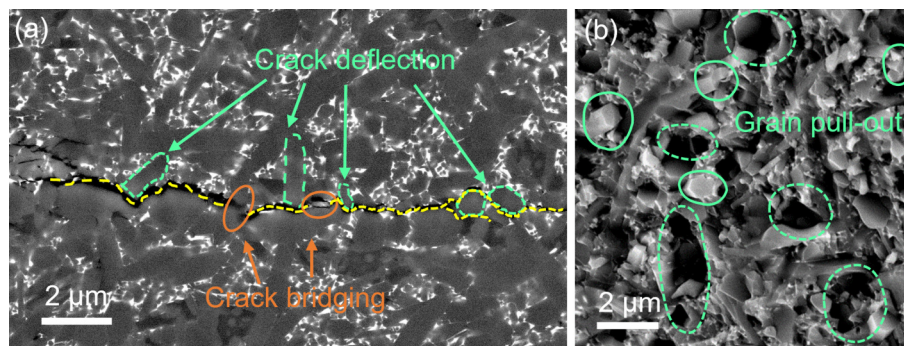


Fig. 9 SEM view of (a) a crack path and (b) grain extraction in 5RE_{0.2}-SN.

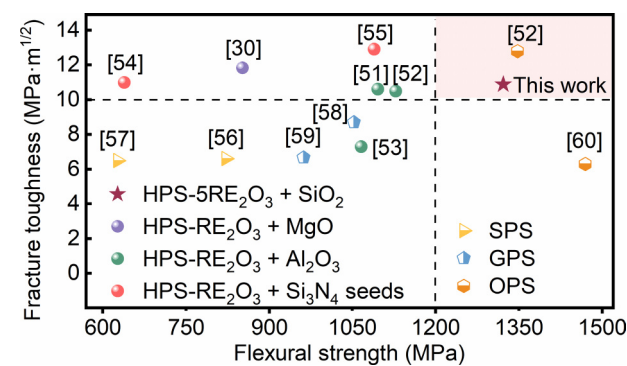


Fig. 10 Comparison of flexural strength and fracture toughness of 5RE_{0.2}-SN material obtained in this study with previously reported data for Si₃N₄ ceramics fabricated by different sintering methods.

its interlocking distribution with the two distinct grain types, contributes to both grain refinement strengthening and self-toughening via elongated grains. This synergy leads to the simultaneous optimization of flexural strength and fracture toughness in 5RE_{0.2}-SN.

Beyond HPS, we also compared our work with Si₃N₄ ceramics prepared by spark plasma sintering (SPS), gas pressure sintering (GPS), and oscillatory pressure sintering (OPS). SPS is characterized by rapid heating and cooling rates. Due to its short

holding time and lower sintering temperature, the resulting Si₃N₄ ceramics exhibit fine grains. Grain refinement strengthening contributes to their relatively high flexural strength, although it remains lower than that of the 5RE_{0.2}-SN sample in this work. Concurrently, their smaller size and lower aspect ratio of rod-like β-Si₃N₄ grains result in inferior fracture toughness [56,57]. GPS allows for sufficient grain growth in Si₃N₄ ceramics, resulting in prominent elongated rod-like β-Si₃N₄ grains. Despite achieving higher fracture toughness at the expense of a slight flexural strength reduction, both mechanical properties of Si₃N₄ ceramics by GPS remain below those of our 5RE_{0.2}-SN sample [58,59]. Interestingly, the hot-forging process utilized in OPS prepared Si₃N₄ ceramics with elongated finer grains, higher density, and fewer grain boundary defects. In particular, the presence of abundant Moiré patterns at the grain boundary indicates that Si₃N₄ grains slide and rotate, achieving optimal alignment. This results in exceptional flexural strength and fracture toughness [52,60]. In this work, optimization of the additive composition alone achieved comprehensive mechanical properties comparable to those of OPS-processed ceramics. Our material design strategy incorporating multiple RE₂O₃ as sintering additives and a high-entropy grain boundary phase provides significant advantages for enhancing the mechanical properties of Si₃N₄ ceramics. Synergistic integration of this approach with OPS processing benefits holds promising potential for developing Si₃N₄ ceramics with exceptional mechanical properties.

Table 2 Testing methods for mechanical properties of different Si₃N₄ ceramics in Fig. 10

Sintering methods	Flexural strength (MPa)	Testing method	Fracture toughness (MPa·m ^{1/2})	Testing method	Ref.
HPS	852	Four-point bending	11.84	Single-edge notched beam (three-point bending)	[30]
HPS	1096	Three-point bending	10.61	Vickers indentation method	[51]
HPS	1128	Three-point bending	10.50	Single-edge notched beam	[52]
HPS	1066	Three-point bending	7.30	Vickers indentation method	[53]
HPS	639	Four-point bending	11.00	Single-edge precracked beam	[54]
HPS	1089	Three-point bending	12.90	Single-edge notched beam	[55]
SPS	822	Three-point bending	6.60	Single-edge notched beam	[56]
SPS	627	Three-point bending	6.50	Vickers indentation method	[57]
GPS	1053	Three-point bending	8.70	Single-edge precracked beam	[58]
GPS	962	Three-point bending	6.67	Vickers indentation method	[59]
OPS	1470	Three-point bending	6.30	Vickers indentation method	[60]
OPS	1348	Three-point bending	12.80	Single-edge notched beam	[52]
HPS	1322	Three-point bending	10.88	Single-edge notched beam	This work

4 Conclusions

In summary, synergistic optimization of flexural strength and fracture toughness in Si₃N₄ ceramics was achieved via a high-entropy strategy. The multicomponent RE-ion liquid phase, derived from multiple RE₂O₃ as sintering additives, reduces the shrinkage onset temperature while accelerating α → β phase transformation and densification. Low viscosity and multicomponent RE-ion interactions in this liquid phase facilitate anisotropic growth of Si₃N₄ grains, forming a bimodal microstructure with high aspect ratio rod-like β-Si₃N₄ grains embedded in a fine-grained matrix. Moreover, the enhanced crystallinity of this phase generates a high-strength crystalline (Gd_{0.2}Y_{0.2}Yb_{0.2}Lu_{0.2}Sc_{0.2})₂Si₂O₇ grain boundary phase (replacing glassy phases), elevating the mechanical strength. Concurrently, its higher thermal expansion coefficient versus glassy phases induces interfacial tensile stress that actively drives crack deflection. Integration of this tailored bimodal structure and high-entropy

grain boundary phase delivers exceptional mechanical properties: 1322 MPa flexural strength and 10.88 MPa·m^{1/2} fracture toughness. The 5RE_{0.2}-SN sample outperforms all unitary RE₂O₃ as sintering additive counterparts by 23.78% in strength and 26.65% in toughness versus average, confirming the exceptional potential of Si₃N₄ ceramics featuring a high-entropy grain boundary phase for structural applications. This work establishes high-entropy engineering as a novel pathway for performance optimization in Si₃N₄ ceramics. The extensible grain boundary design paradigm demonstrated herein will stimulate transformative innovation across liquid phase sintered ceramic technologies.

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Availability of data and materials

The data that support the findings of this study are available from the corresponding author upon reasonable request.

Competing interests

The authors have no competing interests to declare that are relevant to the content of this article.

Electronic Supplementary Material

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